

**OHIO AIR QUALITY DEVELOPMENT AUTHORITY  
APPLICATION FOR FINANCING OF AIR QUALITY CONTROL FACILITY**

**APPLICATION**

**Applicant Information**

Legal Business or Individual Name: (Must Match W9)

\_\_\_\_\_

Business Name, Trade name, Doing Business as: (If different than above)

\_\_\_\_\_

Type of Business (Check the box that applies): Sole Proprietor      Individual      Partnership      Corporation  
   Firm      Association      Not-for-Profit      Public Entity

Registered with Secretary of State: Yes (Enter Number) \_\_\_\_\_ No (Must be registered prior to project approval)

Tax Identification Number/Social Security Number: \_\_\_\_\_

NAICS Code: \_\_\_\_\_

Applicant Address: \_\_\_\_\_

Applicant City, State & Zip: \_\_\_\_\_

Applicant County: \_\_\_\_\_

**Project Information (if different from above)**

Project Address: \_\_\_\_\_

Project City, State & Zip: \_\_\_\_\_

Project County: \_\_\_\_\_

**Contact Information (Attach a separate sheet for other contacts)**

Are you the owner? Yes/No (yes, provide information below. No, provide information on a separate sheet)

Contact Name: \_\_\_\_\_

Contact Title: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

Fax: (\_\_\_\_) \_\_\_\_\_

E-Mail Address: \_\_\_\_\_

**Applicant Background Information:**

The length of time the applicant has been operating in Ohio:

Will Parent Corporation be involved in financing?      \_\_\_\_\_ Yes      \_\_\_\_\_ No

Provide a brief description of the Business: \_\_\_\_\_

\_\_\_\_\_

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Number of Employees: \_\_\_\_\_

How did you hear about the program: \_\_\_\_\_

**PROJECT**

Brief description of proposed air quality facility. Please include a copy of detailed project description including quantities of pollution controlled and/or prevented as well as energy saved or reduced. Also include the equipment model and serial number(s).

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Approximate date(s) of equipment(s) delivery: \_\_\_\_\_

Approximate date actual construction will commence: \_\_\_\_\_

Status of plans:

Contract with consulting engineers [ ]

Preliminary plans completed [ ]

Detailed plans completed (include copy of plans, if completed) [ ]

In order to satisfy statutory requirement, please indicate best estimates of the following:

A. Present employment at subject facility: \_\_\_\_\_

B. Additional full-time employment that will be created at the completion of this Project: \_\_\_\_\_

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County (ies) where Air Quality Facility will be located: \_\_\_\_\_

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Estimated Ohio content for project: \_\_\_\_\_

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For assistance in locating Ohio contractors and/or services relating to advanced energy projects, please contact Advanced Energy Ohio

ESTIMATED COST OF FACILITY

	TOTAL COST	COST ELIGIBLE FOR FINANCING
A. Project No. _____	_____	_____

Sub-Total _____	_____	\$ _____
B. Supporting Services and Contingency	_____	\$ _____
Total	_____	\$ _____

**BASELINE ENERGY USE FOR THE PAST 12 MONTHS**

Facility Square Feet	kWh	kWh Cost	MMBTU	MMBTU Cost

**ESTIMATED EMISSION/ENERGY REDUCTION FOR THE PROJECT**

kWh hours reduced: \_\_\_\_\_

kWh Cost Savings: \_\_\_\_\_

MMBTU hours reduced: \_\_\_\_\_

MMBTU Cost Savings: \_\_\_\_\_

Pounds of Nitrogen Oxides: \_\_\_\_\_

Pounds of Sulfur Dioxide: \_\_\_\_\_

Pounds of Carbon Dioxide: \_\_\_\_\_

Other relevant emission/reduction as it pertains to your specific project: \_\_\_\_\_

For assistance in calculating the estimated emission/energy reduction for the project, please refer to the following links.

- [http://oaspub.epa.gov/powpro/ept\\_pack.charts](http://oaspub.epa.gov/powpro/ept_pack.charts)
- <http://www.epa.gov/cleanenergy/energy-resources/calculator.html#results>
- <http://www.epa.gov/cleanenergy/energy-resources/refs.html>

**FINANCING INFORMATION**

Proposed Lender: \_\_\_\_\_

Loan Officer Name: \_\_\_\_\_ Title: \_\_\_\_\_

Address: \_\_\_\_\_ City, State & Zip: \_\_\_\_\_

County: \_\_\_\_\_ Telephone: (\_\_\_\_) \_\_\_\_\_ Fax: (\_\_\_\_) \_\_\_\_\_

E-Mail Address: \_\_\_\_\_ Website Address: \_\_\_\_\_

Loan Amount: \_\_\_\_\_ Interest Rate: \_\_\_\_\_ Closing Date Requested: \_\_\_\_\_

Do you have a qualified firm you would like to work with as bond counsel? \_\_\_\_\_ [name of bond counsel; both individual and law firm name] OAQDA will also appoint a qualified firm as its Issuer’s Counsel, and the fees of that firm will be the responsibility of the applicant.

**FINANCIAL LIABILITY**

Does the applicant:

Owe any delinquent taxes to the State, any state agency, or a political subdivision of the State? \_\_\_\_\_

Owe any monies to the State or to a state agency for the administration or enforcement of the environmental laws of the State?  
\_\_\_\_\_

Owe any past-due monies to the State, a state agency, or a political subdivision of the State? \_\_\_\_\_

Have any existing tax liens by the state of or a political subdivision of the State? \_\_\_\_\_

Have a state loan on which it has defaulted? \_\_\_\_\_

**PRIOR LEGAL ACTION**

Has the applicant (or user), related companies, or any of their respective officers: \_\_\_\_\_

Been convicted of a felony? \_\_\_\_\_

Been convicted of or enjoined from any violation of state or federal securities law? \_\_\_\_\_

Been a party to any consent order or entry with respect to an alleged state or federal securities law violation? \_\_\_\_\_

Been a defendant in a civil or criminal action? \_\_\_\_\_

**AUTHORIZATION**

This application must be signed by the applicant or an officer of the corporation.

Name: \_\_\_\_\_

Title: \_\_\_\_\_ Date: \_\_\_\_\_

## NEXT STEPS

1. Submit completed application to:

The Ohio Air Quality Development Authority  
1718 LeVeque Tower  
50 West Broad Street  
Columbus, OH 43215-5910

2. The application will be reviewed for completeness and compliance with the definition of an air quality facility as defined in ORC 3706.
3. The Authority's Director or Legal Counsel will advise of any additional information needed and/or when the project is scheduled for presentation to OAQDA members.
4. Following presentation to and approval by OAQDA members, the designated loan officer and/or attorney and the OAQDA attorney will work out the details, documents and closing date.
5. An OAQDA invoice representing Authority administration fees will be mailed to the project company. This invoice is payable at closing and may be paid by check or wire transfer.